



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Amanat, O. *et al.*

Serial No.: 09/643,222

Filing Date: August 21, 2000

Title: APPARATUS AND METHOD FOR  
ADDING LIQUIDITY TO AN ECN  
AND IMPROVING EXECUTIONS  
OF ORDERS FOR SECURITIES



Group Art Unit: *Unassigned*

Examiner: *Unassigned*

Docket No.: T30364US

**Box DD**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Certificate of Mailing

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Signature

Date

*Dennis T. Messer*  
*9-28-00*

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Submitted herewith are patents, publications or other information of which the undersigned is aware.

The submission of these documents is in no way intended to constitute an admission that these documents are "material" under 37 CFR 1.56. Furthermore, this submission is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

This Information Disclosure Statement is filed within the time period specified in 37 CFR 1.97(b)(1). The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

Date: \_\_\_\_\_

9/28/2000

By: \_\_\_\_\_

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